

**Cationic Polymerization of Cyclopentadiene  
Initiated by Bifunctional Trityl Salts  
Evidence for a Direct Addition of the Initiator  
and Application to Block Copolymerization**

**M. Villesange, G. Sauvet, J. P. Vairon and P. Sigwalt**

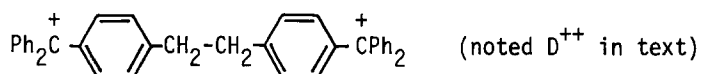
Laboratoire de Chimie Macromoléculaire de l'Université Pierre et Marie Curie,  
4, Place Jussieu, 75230 Paris Cédex 05, France

Abstract

A chemical evidence for the fixation of triphenylmethyl cation in the initiation step is given in the case of cyclopentadiene polymerization initiated by stable trityl salts. With  $\text{SbCl}_6^-$  as counter ion, negligible transfer reaction takes place at  $0^\circ\text{C}$ . The situation is more complex with  $\text{SbCl}_5\text{OH}^-$ . Mono and bifunctional trityl salts have also been used for the synthesis of AB and ABA block copolymers.

Introduction

Direct addition of a cation to the double bond is the most generally admitted mechanism for initiation of cationic polymerization of vinyl or ethylenic monomers by stable organic salts like trityl or tropylium derivatives (1). Evidences were provided for this mechanism using spectroscopic end groups determinations (2,3,4). Nevertheless, the presence of salt residues in the polymer chain might also result from termination reactions. In order to determine conclusively the mechanism of initiation (direct addition, hydride transfer, or electron transfer from monomer), we initiated the polymerization of cyclopentadiene (CPD) -for which transfer processes are very low or even negligible (5)- in  $\text{CH}_2\text{Cl}_2$  solution by a bifunctional analogue of triphenylmethyl carbenium ion:



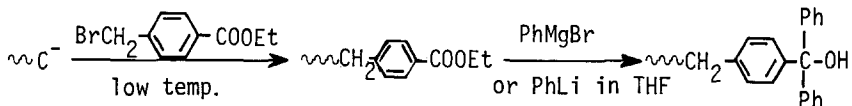
This salt was previously used by KUNTZ (6) in order to show that initiation of THF polymerization proceeded essentially through hydride transfer, whereas FRANTA et al (7), using a similar approach, proved the direct addition of oxocarbenium ions onto THF.

Experimental

\* Bifunctional salts  $\text{D}^{++}$  -4,4'-bis (diphenylmethylcarbenium)bibenzyl bis (antimonyhexachloride) and bis (antimony hydroxypentachloride) - were respectively prepared by addition of a solution of  $\text{SbCl}_5$  to solutions of the corresponding chloride and carbinol in  $\text{CH}_2\text{Cl}_2$ . These last compounds were synthesised from bibenzyl using a method derived from SLOAN and VAUGHAN (8). The two salts, referred as  $\text{D}^{++}(\text{SbCl}_6^-)_2$  and  $\text{D}^{++}(\text{SbCl}_5\text{OH}^-)_2$  were purified by se-

veral precipitations in hexane.

\* Macromolecular mono and bis salts were prepared from mono and bifunctional living anionic poly- $\alpha$ -methylstyrene chains (respectively initiated by cumyl<sup>-</sup>K<sup>+</sup>/THF and by Na/THF), through the following sequence of reactions



After precipitation and purification, the macrocarbinol is transformed into the corresponding salt by addition of SbCl<sub>5</sub> to a CH<sub>2</sub>Cl<sub>2</sub> solution.

### Results

Electronic spectra of D<sup>++</sup> and macromolecular salts differ noticeably from that of Ph<sub>3</sub>C<sup>+</sup> (Figure 1) and agree with reported  $\lambda_{\text{max}}$  for monoparasubstituted triphenyl methyl carbenium ions (9). Apparent molar extinction coefficients of the various salts were determined in CH<sub>2</sub>Cl<sub>2</sub>, either by simple weighting or by adding to a solution of the corresponding precursor successive increments of a titrated solution of SbCl<sub>5</sub>, until a plateau is reached. This second method leads simultaneously to  $\epsilon$  value (slope) and to the final concentration of functionalized species (plateau). It appears on Figure 1, first that the shape of the absorption peak of the bis salt varies with molecular weight, and second that the oscillator strength  $f$  ( $f = 4,317 \cdot 10^{-9} \int \epsilon \, d\nu$ ) is strongly affected (divided by a factor of  $\sim 2$ ) when increasing MW from D<sup>++</sup> to M<sup>-</sup> = 80,000. For each copolymerization experiment we specifically used the  $\epsilon_{460}$  of the corresponding macromolecular initiator.

Parallel polymerizations of CPD were performed at 0°C respectively in the presence of monofunctional (Ph<sub>3</sub>C<sup>+</sup>) and bifunctional (D<sup>++</sup>) salts, with similar concentrations of monomer and initiating cation (Table 1). Reactions were stopped when at least 90% of initiator was consumed. When the counter ion is SbCl<sub>6</sub><sup>-</sup>, comparison of M.W. of the resulting polymers shows that the DP<sub>n</sub> of the product obtained with D<sup>++</sup> is about twice the DP<sub>n</sub> of the product obtained with Ph<sub>3</sub>C<sup>+</sup>, which proves the direct addition mechanism. A correct and reproducible agreement is observed in this case between the experimental DP<sub>n</sub> and the theoretical value computed from polymer yield and initial salt concentration. This is no longer the case for propagation with SbCl<sub>5</sub>OH<sup>-</sup> counterion. Even if transfer remains low, it may decrease drastically the experimental DP<sub>n</sub>. This is particularly clear for polymerizations initiated by D<sup>++</sup>(SbCl<sub>5</sub>OH)<sub>2</sub> and it may be seen on Figure 2, that even if the main peak of the G.P. chromatogram corresponds to the expected theoretical molecular weight, the distribution is broadened by a low MW tail. Deviation between experimental and theoretical DP<sub>n</sub> is less sensitive when using Ph<sub>3</sub>C<sup>+</sup>SbCl<sub>5</sub>OH<sup>-</sup> as initiator (Table I). In this case, transfer leads to a larger proportion of low MW chains than in the case of D<sup>++</sup>(SbCl<sub>5</sub>OH)<sub>2</sub>, so that oligomers lost during polymer recovery represent a greater part

Figure 1:

Electronic spectra of bifunctional tri-  
tyl salts in  $\text{CH}_2\text{Cl}_2$   
(room temp.)

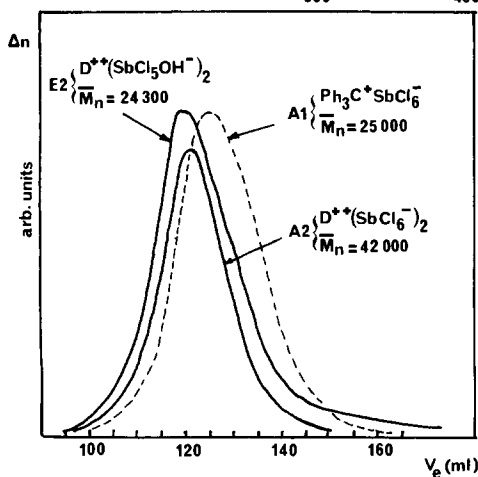
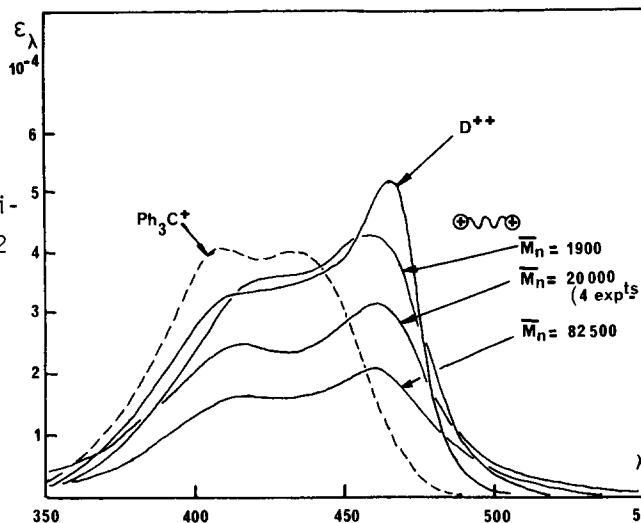
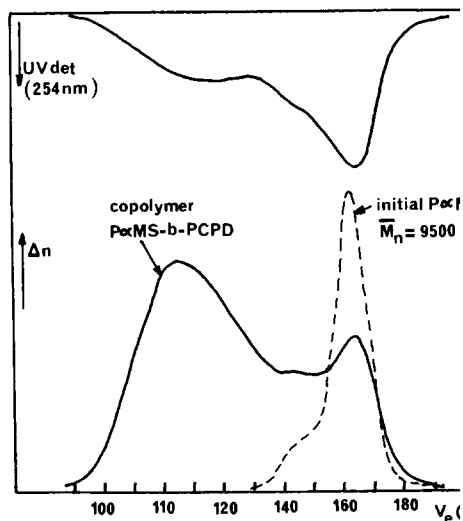


Figure 2:

GP chromatograms of PCPD ob-  
tained with  $\text{D}^{++}(\text{SbCl}_6)^-$  and  
 $\text{D}^{++}(\text{SbCl}_5\text{OH})^-$   
(see text and Table I)  
-solv: THF

Figure 3:

Example of block copolymeriza-  
tion. GP chromatogram of resul-  
ting mixture, expt.  $\text{M}_2$   
(see Table II)  
UV absorption of PCPD at 254nm  
may be neglected.



of the whole polymer, and thus values of  $k_p/k_{trm}$  - computed from theoretical and experimental  $\overline{DP}_n$  (4) - are probably overestimated. Nevertheless, it appears that these ratios, which are very high or even meaningless with the counter ion ( $SbCl_6^-$ ), are significantly lower with ( $SbCl_5OH^-$ ). This indicates the transfer processes to be more favoured when the growing carbenium ion is associated with  $SbCl_5OH^-$  rather than with  $SbCl_6^-$ , and to occur mainly on ion pairs.

TABLE I

Polymerizations of cyclopentadiene initiated by  $Ph_3C^+$  and  $D^{++}$  at  $0^\circ C$  in  $CH_2Cl_2$

Exp.	Initiator	$ I^+ _o \cdot 10^4$ eq. $l^{-1}$	$ M _o$ mol. $l^{-1}$	Y <sub>pol</sub> %	$\overline{DP}_n$ osm.	$\overline{DP}_n^*$ theor.	$\frac{k_p}{k_{trm}}$ **
<u><math>SbCl_6^-</math></u>							
A <sub>1</sub>	$Ph_3C^+$	7.5	1.35	20	380	386	very high
A <sub>2</sub>	$D^{++}$	7.6	1.35	20	610	700	4,700
B <sub>1</sub>	$Ph_3C^+$	7.4	1.39	21	430	439	very high
B <sub>2</sub>	$D^{++}$	7.3	1.39	20	670	782	4,900
C	$D^{++}$	0.93	1.12	4	820	973	5,200
<u><math>SbCl_5OH^-</math></u>							
D <sub>1</sub>	$Ph_3C^+$	9.7	0.87	34	290	338	2,000
D <sub>2</sub>	$D^{++}$	9.7	0.87	27	320	490	900
E <sub>1</sub>	$Ph_3C^+$	6.5	1.35	18	350	402	2,700
E <sub>2</sub>	$D^{++}$	7.6	1.35	19	360	668	800

\* calculated from yield and consumed initiator (> 90 %  $|I^+|_o$ ), assuming no transfer

\*\* estimated error :  $\pm 10$  %.

Block copolymers may be prepared using mono or bifunctional macromolecular initiators of the above type. We synthesized triaryl ends from living anionic poly  $\alpha$ -methylstyrene chains (see Experimental) and the resulting salts were used in A-B and A-B-A copolymerizations with cyclopentadiene. Unfortunately isolation of pure macromolecular triarylchloride (leading to  $SbCl_6^-$ ) appeared technically difficult. Copolymerizations were performed with  $SbCl_5OH^-$  counter ion and even if transfer led to some homopolymer, the sequencing efficiency (S.E. =  $\frac{PCPD \text{ in blocks}}{\text{overall PCPD}} \%$ ) remained rather high. The  $k_p/k_{trm}$  ratios for CPD block copolymerization were computed from the theoretical  $\overline{DP}_n$ , assuming no transfer, and the experimental one taking into account blocks

and homo PCPD chains. Values are somewhat scattered but the order of magnitude is comparable to that observed for polymerization initiated by  $\text{Ph}_3\text{C}^+$  with  $\text{SbCl}_5\text{OH}^-$  as counterion. Functionalization of anionic poly $\alpha$ -methylstyrene was generally incomplete and copolymers were separated from unreacted initial polymer by recycled preparative GPC (Figure 3). Typical examples are summarized in Table II. We are presently studying these copolymerizations and details will be published elsewhere.

TABLE II

Examples of A-B and A-B-A block copolymerization of cyclopentadiene and poly  $\alpha$ -methylstyrene.

$T = 0^\circ\text{C}$   $[\text{CPD}]_0 \sim 2 \text{ mol.l}^{-1}$  solvent =  $\text{CH}_2\text{Cl}_2$

Exp <sup>t</sup>	Func <sup>t</sup>	Y: p MS	M <sub>n</sub> <sup>-</sup>	yield: of funct <sup>n</sup>	I <sup>+</sup>   <sub>0</sub> · 10 <sup>4</sup>	Copolym. weight %	S.E. %	M <sub>n</sub> of PCPD sequences		k <sub>p</sub> /k <sub>t</sub>
								a	b	
M <sub>1</sub>	~~~~~	9 500	28	1.9	41	86	9 900	8500	3 00	
M <sub>2</sub>	~~~~~	9 500	71	3.3	51	61	32 000	25100	80	
M <sub>3</sub>	~~~~~	82 500	100	3.7	96	85	15 800	13400	1 30	

\* Sequencing efficiency - See text.

\*\* a : theoretical, assuming no transfer

b : experimental (blocks + transferred chains).

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